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June 16, 1998

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Down A Boulds
Teresal J. Bowles

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michel Armand

John B. Goodenough

Akshaya K. Padhi

K.S. Nanjundaswamy

Christian Masquelier

Serial No.: 08/998,264

Filed: December 24, 1997

For: CATHODS MATERIALS FOR

SECONDARY (RECHARGEABLE)

LITHIUM BATTERIES

Group Art Unit: 1741

Examiner: Unknown

Atty. Dkt. No.: UTSB:646/BOW

RECEIVED

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This application is a continuation-in-part application of Serial No. 08/840,523, filed April 21, 1997 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 08/840,523.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Arnold, White & Durkee Deposit Account No. 01-2508/UTSB:646/BOW.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Husa G. Boules
Teresa J. Bowles

Reg. No. 40,526

Attorney for Applicants

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Teresa J. Bowles

Assistant Commissioner for Patents Washington, DC 20231

> U.S. Patent Application Serial No. 08/998, 264 Entitled: "CATHODS RE: MATERIALS FOR SECONDARY (RECHARGEABLE) LITHIUM BATTERIES" - Michel Armand, John B. Goodenough, Akshaya K. Padhi, K.S. Nanjundaswamy and Christian Masquelier (Client reference: UT/AUS:646; Div of UTSB:610)

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, PTO-Form 1449 listing references (A1-A2 and C1-C16).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from Arnold, White & Durkee Deposit Account No. 01-2508/UTSB;646/BOW.

ARNOLD, WHITE & DURKEE

Assistant Commissioner for Patents June 16, 1998 Page 2

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Respectfully submitted,

Drusa g. Boules
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TJB:mcw: Encl.